

Code of Conduct Complaints Status Report

Month 2009	Cases received	Cases open (cumulative)	Assessed by Committee – investigation	Assessed by Committee – no investigation	To be assessed by Committee/other	Cases closed	Appeals received
April	12	12	8 & 1*	1	2**	0	0
May	2	14	0	1	1***	0	0
June	13	25	4	0	7** & 2***	2	0
July	3	26	1	0	2**	2	0
August	1	13	0	1	0	14	0
September	1	14	0	1	0	0	0
October	5	18	2	3	0	1	0
November	7	24	2	3	2****	1	0
December	0	23	0	0	0	1	1 (overturned)
Month 2010							
January	0	18	0	0	0	5	2 (upheld)
February	1	16	0	1	0	3	0
March	3	19	0	3	0	0	0
April	4	19	0	4	0	4	1 (upheld)
May	3	19	2	1	0	3	1 (upheld)
June	0	14	0	0	0	5	0
July	6	19	1	5	0	1	0
August	3	22	1 (referred to SfE)	1	1****	0	0
September	5	21	0	4	1**	6	4 (upheld)
October	1	17	0	1	0	5	1 (upheld)
November	7	20	0	7	0	4	0
December	0	18	0	0	0	2	0
Totals	77	n/a	22	37	18	59	10

* complaint presented to an Assessment Sub-Committee at Salisbury District Council and transferred to Wiltshire Council for investigation 01/04/09

** further and better particulars requested but not received – complaint closed

*** not code of conduct complaint – complaint closed

**** complaint withdrawn

N.B. Two additional Appeals have been received but these relate to carried over complaints from former district councils and are not recorded in these figures

Hearings

2009	Number of hearings	Date(s) and type(s) of hearing	Outcome	Appeal (Y/N)
April	0			
May	4	13/05/09 – 4 x Assessment Sub-Committee	3 investigations & 1 alternative action by MO	No
June	4	23/06/09 – 4 x Assessment Sub-Committee	3 investigations & 1 no breach	No
July	4	07/07/09 – 4 x Assessment Sub-committee	4 investigations	No
August	2	10/08/09 & 27/08/09 – 2 Assessment Sub-Committees	1 investigation & 1 no further action	No
September	0			n/a
October	1	15/10/09 – 1 x Assessment Sub-Committee	No further action	No
November	5	19/11/09 - 5 x Assessment Sub-Committee	1 investigation & 4 no further action	Yes (1)
December	7	02/12/09 – 4 x Assessment Sub-Committee 10/12/09 – 3 x Assessment Sub-Committee	2 investigations & 2 no further action 1 referral to MO & 2 adjournments (complaints now withdrawn and closed)	Yes (2)
2010				
January	0			n/a
February	5	03/02/10 – 1 x Assessment Sub-Committee (referred back following death of subject member (original decision – investigation)) 03/02/10 – 3 x Review Sub-Committee 09/02/10 – 1 x Consideration Sub-Committee	No further action 2 decisions upheld and 1 overturned – investigation Referral to Determination Sub-Committee	No
March	4	02/03/10 – 1 x Consideration Sub-Committee 30/03/10 – 3 x Assessment Sub-Committee	Referral to Determination Sub-Committee 1 referral to MO & 2 no further action	Yes (1)

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2010	Number of hearings	Date(s) and type(s) of hearing	Outcome	Appeal (Y/N)
April	4	12/04/10 – 1 x Determination Sub-Committee 15/04/10 - 3 x Consideration Sub-Committee	Failure to comply – sanction: censure 2 no failure to comply – closed 1 referral to Determination Sub-Committee	n/a
May	10	11/05/10 – 5 x Assessment Sub-Committee 13/05/10 – 1 x Determination Sub-Committee 25/05/10 – 3 x Assessment Sub-Committee 25/05/10 – 1 x Review Sub-Committee	2 no further action, 1 referral to MO and 2 referrals to SfE * Failure to comply – sanction: training 2 investigations & 1 no further action Decision upheld	Yes (1)
June	1	17/06/10 – 1 x Review Sub-Committee	Decision upheld	n/a
July	4	05/07/10 – 1 x Determination Sub-Committee 27/07/10 - 3 x Consideration Sub-Committee	Failure to comply – no further action 3 referrals to Determination Sub-Committee	n/a
August	6	19/08/10 - 6 x Assessment Sub-Committee	1 investigation & 5 no further action	Yes (4)
September	7	07/09/10 – 1 x Assessment Sub-Committee 07/09/10 – 1 x Consideration Sub-Committee 28/09/10 – 1 x Assessment Sub-Committee 29/09/10 – 4 x Review Sub-Committee	1 x no further action 1 x no failure to comply 1 x investigation - referred to SfE * 4 x no further action	Yes (1)
October	7	06/10/10 – 2 x Determination Sub-Committee 14/10/10 - 1 x Determination Sub-Committee 21/10/10 – 4 x Assessment Sub-Committee	2 x no failure to comply 1 x 1 no failure to comply & 1 failure to comply – sanction: training 4 x no further action	Yes (1)
November	3	16/11/10 – 3 x Assessment Sub-Committee	3 x no further action	Yes (2)
December	5	07/12/10 - 2 x Assessment Sub-Committee 07/12/10 – 1 x Review Sub-Committee 14/12/10 – 2 x Consideration Sub-Committee	2 x no further action Decision upheld 1 x referral to Determination Sub-Committee 1 x no failure to comply - closed	

Investigations

Case reference	Date of Assessment hearing	Progress	Estimated date of final report
WC 06/09	13/05/09	Determination Sub-Committee 13 May 2010 – failure to comply (sanction – training) - closed	N/A
WC 14/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply – closed	N/A
WC 15/09	23/06/09	Consideration Sub-Committee 15 April 2010 – no failure to comply – closed	N/A
WC 18/09	13/05/09	Determination Sub-Committee 6 October 2010 – no failure to comply - closed	N/A
WC 19/09	13/05/09	Determination Sub-Committee 6 October 2010 - no failure to comply - closed	N/A
WC 20/09	23/06/09	Determination Sub-Committee 17 March 2011	N/A
WC 24/09	07/07/09	Determination Sub-Committee 12 April 2010 – failure to comply (sanction- censure) - closed	N/A
WC 30/09	07/07/09	Determination Sub-Committee – April 2011	N/A
WC 31/09	07/07/09	Determination Sub-Committee – April 2011	N/A
WC 32/09	07/07/09	Determination Sub-Committee – April 2011	N/A
WC 33/09	07/07/09	Determination Sub-Committee 14 October 2010 – 1 no failure to comply & 1 failure to comply (sanction – training) - closed	N/A
WC 38/09	19/11/09	Determination Sub-Committee 5 July 2010 – failure to comply (no further action) closed	N/A
WC 43/09	02/12/09	Draft report being finalised	March 2011
WC 45/09	02/12/09	Draft report being finalised	March 2011
WC 42/09	03/02/10 (Review Sub-Ctte)	Consideration Sub-Committee 7 September 2010 – no failure to comply - closed	N/A
WC 09/10	25/05/10	Consideration Sub-Committee 14 December 2010 – no failure to comply - closed	N/A
WC 10/10	25/05/10	Determination Sub-Committee 30 March 2011	N/A
WC 12/10	19/08/10	Consideration Sub-Committee 24 February 2011	N/A